# taking symbolic execution to the libraries

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# assert-first programming

programmers have long used assertions to state crucial properties of code

- various dynamic and static analyses make use of assertions we believe we can squeeze more value from assertions and make them a viable form of program annotations
  - testing
  - repair

abstract symbolic execution provides enabling technology

- can unify software verification and resilient computing assert-first programming has the potential to provide the benefits of test-first programming but at a lower cost
  - it is easier to write an assertion than to manually construct a high quality test suite or a correct repair routine

# our take on symbolic execution

problem with traditional symbolic execution: it does not scale proposed solution: try not to perform it fully symbolically

- treat a handful of fields symbolically
  - e.g., in repair, we selectively make fields symbolic
- provide direct support for symbolic execution of certain (commonly used) classes
  - give semantics for symbolic manipulations of objects and solve constraints in ensuing path conditions
  - alleviate the need to symbolically execute intricate implementations of library code
    - prevent path conditions from becoming too complex and choking underlying solvers

#### example

#### consider a red-black tree

- binary search tree
- red nodes have black children
- same number of black nodes on all paths from root to leaf

```
class TreeMap {
    Entry root;
    int size;
    static class Entry {
        int key;
        Entry left, right, parent;
        boolean color;
    }
    ...
```

#### assertion example

#### class invariant of TreeMap

```
boolean repOk {
     if (root == null) return size == 0; // empty tree
     if (root.parent != null) return false; // root has no parent
     // check acyclicity and parent relation
     Set visited = new HashSet();
     List workList = new LinkedList();
     workList.add(root);
     while (!workList.isEmpty()) {
     if (visited.size() != size) return false; // check size
     ... // check colors
     ... // check keys
     return true;
```

abstract symbolic execution

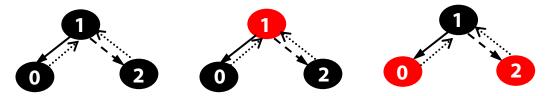
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# test generation example

korat: monitor executions of repOk to systematically enumerate inputs for which repOk returns true [boyapati+02, marinov05]

provides non-isomorphic generation
 simple to implement using a model checker [khurshid+03]
 efficient for enumerating a large number of small (~ a dozen nodes) structures

example: size=3, i.e., 3 nodes, 3 keys

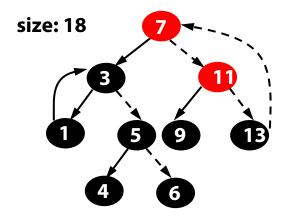


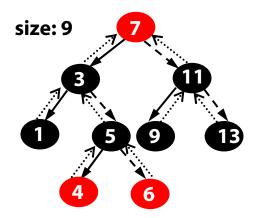
#### repair example

juzi: on assertion violation, *repair* the state of the program and let it continue to execute [garcia05, khurshid+05, suen05]

can be efficient for repairing large structures (~ 10K nodes) with a small number of corruptions

example





#### resilient computing background

fault-tolerance and error recovery have featured in software systems for a long time

most of the past work has been on specialized repair routines

- file system utilities, such as fsck
- commercial systems, such as IBM MVS operating system and lucent 5ESS switch

demsky and rinard's framework is more generic [OOPSLA'03]

- declarative constraints define desired structures
- mapping defines data translations between abstract and concrete states
- requires users to provide mappings and learn a new constraint language

#### outline

#### motivation

traditional symbolic execution

- supporting references
   supporting library classes
- towards an implementation discussion

# traditional forward symbolic execution

technique for executing a program on symbolic input values

- pioneered three decades ago [boyer+75, king76]
   explore program paths
  - for each path, build a path condition
  - check satisfiability of path condition

various applications

test generation and program verification
 traditional use focused on programs with fixed number of variables of primitive types

#### concrete execution **path** (example)

```
int x, y;
                                x = 1, y = 0
if (x > y) {
                                1 >? 0
  x = x + y;
                                x = 1 + 0 = 1
  y = x - y;
                                y = 1 - 0 = 1
  x = x - y;
                                x = 1 - 1 = 0
  if (x - y > 0)
                                0 - 1 > ? 0
    assert(false);
```

## symbolic execution **tree** (example)

```
x = X, y = Y
int x, y;
if (x > y) {
                     [X \le Y] END
[X > Y] x = X + Y
[X > Y] y = X + Y - Y = X
  x = x + y;
  y = x - y;
                                          [X > Y] x = X + Y - X = Y
  x = x - y;
                                          [X > Y]Y - X > ?0
  if (x - y > 0)
                         [X > Y, Y - X <= 0] END [X > Y, Y - X > 0] END
    assert(false);
```

## handling more general programs

how to handle programs with references or pointers? e.g., if (current.left.parent != current) ...

several recent approaches work with arbitrary java/C++ programs [khurshid+03, pasareanu+04, visser+04, xie+04, csallner+05, godefroid+05, cadar+05]

common theme: perform symbolic execution at concrete representation level

#### example algorithm

to symbolically execute a method m

- create input objects with uninitialized fields
- execute m
  - follow mainly Java semantics
  - systematically initialize fields on first-access
  - add constraints to path condition and check for feasibility

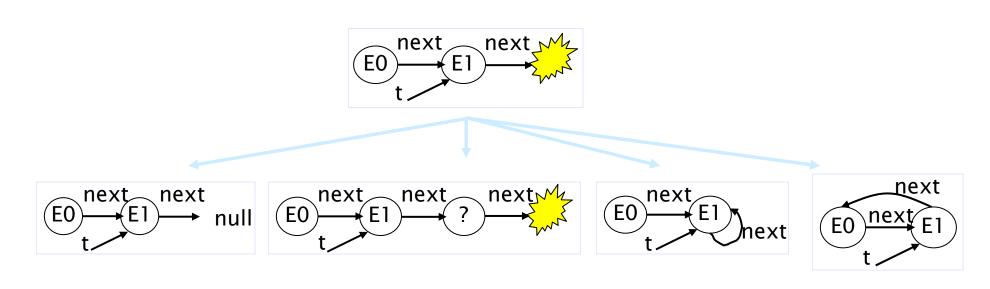
#### example field initialization

idea: on first access of a field, non-deterministically initialize it to explore all aliasing possibilities

```
when method execution accesses field f
  if (f is uninitialized) {
    if (f is reference field of type T) {
        non-deterministically initialize f to
        - null
        - a new object of class T (with uninitialized fields)
        - an object created during prior field initialization
    }
    if (f is numeric field)
        initialize f to a new symbolic value
}
```

# algorithm illustration

consider executing the statement
next = t.next;



#### outline

motivation traditional symbolic execution

supporting references

supporting library classes

towards an implementation

discussion

## abstract symbolic execution (dianju)

basically the same algorithm as before **except** that objects and methods of supported classes are treated specially

- building constraints on symbolic objects based on predicates
- updating state of symbolic objects based on state modifiers

path conditions may represent rich constraints, e.g., string\_0.equals("hello") and !set\_0.contains(int\_0)

dedicated constraint solvers, e.g., for strings, sets, and maps

- based on dedicated generators, e.g., for generating mathematical objects that represent sets (or maps)
  - can be focused to avoid/provide generation of certain values, e.g., a set must contain the value null

TestEra [ASE'01] had direct support for objects encapsulating primitives and arrays; GSE [TACAS'03] handled strings

#### example benefits in test generation

```
consider generating objects of class Test where field s is
  initialized to HashSet objects
  class Test {
     Set<Integer> s; // s != null
  }
```

dianju does not require detailed class invariant

- e.g., s != null suffices; no need for invariant for HashSet
   as an (extreme) example consider generating tests with 9 integers
  - korat evaluates 3M candidates and generates 26K valid structures, while dianju evaluates  $2^9 = 512$  candidates
  - for systematic testing of library implementations, korat's approach is necessary; for client code, dianju's suffices

#### implementation via instrumentation

implementation has three basic components

- special libraries that implement basics of symbolic execution
  - support for manipulation of symbolic objects
  - constraint solvers, including use of off-the-shelf DP implementations, e.g., CVC-lite [barrett+04]
- a bytecode instrumentation engine that allows using a standard JVM to perform symbolic execution
  - introduces new fields and methods; replaces declarations and operations on supported types with special libraries
  - uses BCEL [dahm, bcel.sourceforge.net], javassist[chiba98]
- a systematic backtracking mechanism
   can be implemented using off-the-shelf model checkers

#### instrumentation example

```
add shadow fields to keep track of field accesses
  Entry left; boolean left_is_symbolic;
replace field accesses with invocations of new methods
  this.left \rightarrow this.left()
  where
  Entry left() {
       if (left_is_symbolic) {
               left_is_symbolic = false;
               left = ...; // non-deterministic initialization
       return left;
implemented using bytecode manipulation
                    #18;//Field left:Ldianju/examples/TreeMap$Entry;
  6: getfield
  6: invokevirtual #252;//Method left:()Ldianju/examples/TreeMap$Entry;
```

#### nondeterministic initialization

the class Explorer allows emulating nondeterministic choice

 choose method returns an integer value nondeterministically Explorer.initialize();

```
do {
    ...
    // i is systematically initialized to 0, 1, 2
    int i = Explorer.choose(2);
    ...
} while (Explorer.incrementCounter());
```

simple stateless search, similar to VeriSoft [Godefroid97]

bounded depth-first

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## how symbolic execution enables testing

black-box [ISSTA 2002]

 symbolically execute repOk; inputs for which it returns true are desired test inputs

white-box/hybrid [TACAS 2003, ISSTA 2004]

 symbolically execute method under test; on field initialization, take into account preconditions

#### how symbolic execution enables repair

to repair structure s [SPIN 2005]

- execute s.repOk() and monitor the execution
  - note the order in which fields of objects in s are accessed
- when execution evaluates to false, backtrack and modify value of the last field that was accessed
  - modify the field value to a new (symbolic) value that is not equal to the original value
- re-execute repOk

#### role of assertions

- efficient symbolic execution can unify software verification and resilient computing via the use of assertions
  - systems can be systematically tested before deployment as well as ensured to behave as expected once deployed

#### applicability

- assertion-based techniques have minimal cost
  - assertion describes what; test generator or repair routine describes how

#### scalability

it is possible to abstract away from irrelevant details

assertions are already immensely popular in hardware verification; the time has also come that we realize the potential benefits assertions have long offered in software

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